



CONTACT INFORMATION UPDATE

This is your authorization to update my contact information where filled in.

Account Title _____

Account Numbers(s) _____

CURRENT OR OLD

Address _____

Phone Number _____ Mobile _____

Office Number _____ Fax _____

NEW OR PRIMARY (Effective today or ____/____/20____)

Address _____

Phone Number _____ Mobile _____

Office Number _____ Fax _____

EMAIL ADDRESS

Existing or Old _____

New _____

SEASONAL ADDRESS (I will instruct when to switch)

Address _____

Phone Number _____ Mobile _____

Office Number _____ Fax _____

For my protection, enclosed is a copy of my

☐ valid government issued photo ID

☐ current property tax bill

☐ current utility bill

to accompany the address change or update.

Client Signature Required

Date ____/____/20____

Client Signature Required

Date ____/____/20____

Email completed form to serviceteam@syndicatedcapital.com. Thank you.



CONTACT INFORMATION UPDATE

Marital Status <input type="checkbox"/> S <input type="checkbox"/> M Number Dependents <input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> >3 Income \$ _____ Source of Income _____ Occupation _____ No. of Years _____ Industry _____ If retired or unemployed, former occupation _____ Employer Name _____ Employer Address _____ Number of years working for the current employer _____ Age today _____ Plan to Retire in _____ or Retired since _____	Marital Status <input type="checkbox"/> S <input type="checkbox"/> M Number Dependents <input type="checkbox"/> 0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> >3 Income \$ _____ Source of Income _____ Occupation _____ No. of Years _____ Industry _____ If retired or unemployed, former occupation _____ Employer Name _____ Employer Address _____ Number of years working for the current employer _____ Age today _____ Plan to Retire in _____ or Retired since _____
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Income Tax Bracket (highest marginal)	<input type="checkbox"/> 0 -15%	<input type="checkbox"/> 16 -25%	<input type="checkbox"/> 26 -30%	<input type="checkbox"/> 31 -35%	<input type="checkbox"/> > 35%
Plan to Cash Out In	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> 5 -7 years	<input type="checkbox"/> > 7 years	<input type="checkbox"/> None/Never
Investment & Product Experience (all sources)					Current Portfolio
Alternative Investments	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Annuities - Fixed	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Annuities - Variable	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Bank CDs	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Business Equity, Partnership or Venture	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Cash or Cash Equivalent	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Commodities, Futures or Precious Metals	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Equities	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Exchange Traded Funds	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Fixed Income	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Hedge Funds	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Insurance	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Margin	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Mutual Funds	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Oil & Gas	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Options	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Pension, 401K or Retirement Savings	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Private Equity	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Private Placements	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Real Estate (excluding primary residence)	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Unit Investment Trusts	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____
Other _____	<input type="checkbox"/> None	<input type="checkbox"/> < 2 years	<input type="checkbox"/> 2 -5 years	<input type="checkbox"/> > 5 years	\$ _____

Client Initial

Client Initial



Syndicated Capital, Inc.

Member FINRA/SIPC

CONTACT INFORMATION UPDATE

Risk Tolerance (please initial next to applicable risk tolerance)

_____	_____	<u>Conservative</u> – I want to preserve my initial principal in this account with minimum risk, even if this means this account does not generate significant income or returns and may not keep pace with inflation.
_____	_____	<u>Moderately Conservative</u> – I am willing to accept low risk to my initial principal, including low volatility, to seek a modest level of portfolio returns.
_____	_____	<u>Moderate</u> – I am willing to accept some risk to my initial principal and tolerate some volatility to seek high returns, and understand I could lose a portion of the money invested.
_____	_____	<u>Moderately Aggressive</u> – I am willing to accept high risk to my initial principal, including high volatility, to seek high returns over time, and understand I could lose a substantial amount of the money invested.
_____	_____	<u>Significant Risk</u> – I am willing to accept maximum risk to my/our initial principal to aggressively seek maximum returns, and understand I could lose most, or all, of the money invested.

Investment Objective (please initial next to applicable investment objective)

_____	_____	<u>Capital Appreciation</u>Investment that concentrates on long-term growth and reinvesting dividends.
_____	_____	<u>Capital Preservation</u>Strategy that values preservation of capital above return.
_____	_____	<u>Income</u>Investment that focuses on current income on a systematic basis.
_____	_____	<u>Speculation</u>Strategy that focuses on short term trading and quick profits or losses.

Investment Strategy

☐ Buy & Hold ☐ Short Term Trading ☐ Other, please specify _____

Investable Asset

<input type="checkbox"/> < \$50,000	<input type="checkbox"/> \$50,001 - \$100,000	<input type="checkbox"/> \$100,001 - \$250,000	<input type="checkbox"/> \$250,001 - \$500,000
<input type="checkbox"/> \$500,001 - \$750,000	<input type="checkbox"/> \$750,001 - \$1,000,000	<input type="checkbox"/> \$1,000,001 - \$2,500,000	<input type="checkbox"/> \$2,500,001 - \$5,000,000
<input type="checkbox"/> \$5,000,001 - \$7,500,000	<input type="checkbox"/> \$7,500,001 - \$25,000,000	<input type="checkbox"/> > \$25,000,001	

Liquid Net Worth (excluding primary residence)

<input type="checkbox"/> < \$50,000	<input type="checkbox"/> \$50,001 - \$100,000	<input type="checkbox"/> \$100,001 - \$250,000	<input type="checkbox"/> \$250,001 - \$500,000
<input type="checkbox"/> \$500,001 - \$750,000	<input type="checkbox"/> \$750,001 - \$1,000,000	<input type="checkbox"/> \$1,000,001 - \$2,500,000	<input type="checkbox"/> \$2,500,001 - \$5,000,000
<input type="checkbox"/> \$5,000,001 - \$7,500,000	<input type="checkbox"/> \$7,500,001 - \$25,000,000	<input type="checkbox"/> > \$25,000,001	

Total Net Worth (excluding primary residence)

<input type="checkbox"/> < \$50,000	<input type="checkbox"/> \$50,001 - \$100,000	<input type="checkbox"/> \$100,001 - \$250,000	<input type="checkbox"/> \$250,001 - \$500,000
<input type="checkbox"/> \$500,001 - \$750,000	<input type="checkbox"/> \$750,001 - \$1,000,000	<input type="checkbox"/> \$1,000,001 - \$2,500,000	<input type="checkbox"/> \$2,500,001 - \$5,000,000
<input type="checkbox"/> \$5,000,001 - \$7,500,000	<input type="checkbox"/> \$7,500,001 - \$25,000,000	<input type="checkbox"/> > \$25,000,001	

Annual Expenses (recurring)

☐ \$50,000 and under ☐ \$50,001-100,000 ☐ \$100,001-250,000 ☐ \$250,001-500,000 ☐ > \$500,000

Long Term Annual Recurring Liabilities (mortgages, car loans, student loans, credit card debts, etc.)

☐ \$50,000 and under ☐ \$50,001-100,000 ☐ \$100,001-250,000 ☐ \$250,001-500,000 ☐ > \$500,000

Special Expenses (future, non-recurring)

☐ None ☐ \$50,000 or less ☐ \$50,001-100,000 ☐ \$100,001-250,000 ☐ > \$250,000

Timeframe for Special Expenses

☐ None ☐ Within 1 year ☐ 2 – 3 years ☐ 3 – 5 years ☐ 6 – 8 years ☐ > 8 years ☐ Other _____

USA PATRIOT ACT INFORMATION

Source of funds for this account	<input type="checkbox"/> Accounts Receivable	<input type="checkbox"/> Accumulated Savings	<input type="checkbox"/> Alimony	<input type="checkbox"/> Gift
	<input type="checkbox"/> Income from Earnings	<input type="checkbox"/> Inheritance	<input type="checkbox"/> Insurance Proceeds	
	<input type="checkbox"/> Investment Proceeds	<input type="checkbox"/> Legal Settlement	<input type="checkbox"/> Lottery/Gaming	<input type="checkbox"/> Pension/IRA/Retirement
	<input type="checkbox"/> Rental Income	<input type="checkbox"/> Sale of Business	<input type="checkbox"/> Sale of Primary Residence	
	<input type="checkbox"/> Sale of Real Estate	<input type="checkbox"/> Spouse/Parent	<input type="checkbox"/> Other _____	

Is this account a private banking account defined under the USA Patriot Act? ☐ Yes ☐ No

Is this an account for a foreign bank as defined under the USA Patriot Act? ☐ Yes ☐ No

Are you or anyone with an interest in this account either:



CONTACT INFORMATION UPDATE

(1) a senior military, governmental, or political official in a non-US country, or (2) closely associated with an immediate family member of such an official? ☐ Yes ☐ No

If yes, identify the name of the official, office held, and country _____

BROKER DEALER AFFILIATIONS

Are you an employee of Syndicated Capital, Inc.?

☐ Yes ☐ No

Are you related to an employee of Syndicated Capital, Inc.

☐ Yes ☐ No

If yes, specify relationship to the employee _____

Are you an employee of another broker dealer?

☐ Yes ☐ No

If yes, name of the broker dealer _____

Are you related to an employee of another broker dealer?

☐ Yes ☐ No

If yes, specify relationship to the employee _____

Are you maintaining other brokerage accounts?

☐ Yes ☐ No

If yes, specify financial institution _____

Are you or any member of your immediate family affiliated with or employed by a member a stock exchange or the FINRA?

☐ Yes ☐ No

If yes, employer authorization is required.

Are you a senior officer, director, or 10% or more shareholder of a public company?

☐ Yes ☐ No

If yes, specify company _____

ARBITRATION DISCLOSURES

THIS AGREEMENT CONTAINS A PREDISPUTE ARBITRATION CLAUSE. BY SIGNING AN ARBITRATION AGREEMENT THE PARTIES AGREE AS FOLLOWS:

- ALL PARTIES TO THIS AGREEMENT ARE GIVING UP THE RIGHT TO SUE EACH OTHER IN COURT, INCLUDING THE RIGHT TO A TRIAL BY JURY, EXCEPT AS PROVIDED BY THE RULES OF THE ARBITRATION FORUM IN WHICH A CLAIM IS FILED.
- ARBITRATION AWARDS ARE GENERALLY FINAL AND BINDING; A PARTY'S ABILITY TO HAVE A COURT REVERSE OR MODIFY AN ARBITRATION AWARD IS VERY LIMITED.
- THE ABILITY OF THE PARTIES TO OBTAIN DOCUMENTS, WITNESS STATEMENTS, AND OTHER DISCOVERY IS GENERALLY MORE LIMITED IN ARBITRATION THAN IN COURT PROCEEDINGS.
- THE ARBITRATORS DO NOT HAVE TO EXPLAIN THE REASON(S) FOR THEIR AWARD UNLESS, IN AN ELIGIBLE CASE, A JOINT REQUEST FOR AN EXPLAINED DECISION HAS BEEN SUBMITTED BY ALL PARTIES TO THE PANEL AT LEAST 20 DAYS PRIOR TO THE FIRST SCHEDULED HEARING DATE.
- THE PANEL OF ARBITRATORS WILL TYPICALLY INCLUDE A MINORITY OF ARBITRATORS WHO WERE OR ARE AFFILIATED WITH THE SECURITIES INDUSTRY.
- THE RULES OF SOME ARBITRATION FORUMS MAY IMPOSE TIME LIMITS FOR BRINGING A CLAIM IN ARBITRATION. IN SOME CASES, A CLAIM THAT IS INELIGIBLE FOR ARBITRATION MAY BE BROUGHT IN COURT.
- THE RULES OF THE ARBITRATION FORUM IN WHICH THE CLAIM IS FILED, ANY AMENDMENTS THERETO, SHALL BE INCORPORATED INTO THIS AGREEMENT.

ARBITRATION AGREEMENT

ANY CONTROVERSY BETWEEN YOU AND US SHALL BE SUBMITTED TO ARBITRATION BEFORE THE NEW YORK STOCK EXCHANGE, INC., ANY OTHER NATIONAL SECURITIES EXCHANGE ON WHICH A TRANSACTION GIVING RISE TO THE CLAIM TOOK PLACE (AND ONLY BEFORE SUCH EXCHANGE), OR THE FINANCIAL INDUSTRY REGULATORY AUTHORITY. NO PERSON SHALL BRING A PUTATIVE OR CERTIFIED CLASS ACTIONS TO ARBITRATION, NOR SEEK TO ENFORCE ANY PREDISPUTE ARBITRATION AGREEMENT AGAINST ANY PERSON WHO HAS INITIATED IN COURT A PUTATIVE CLASS ACTION; OR WHO IS A MEMBER OF A PUTATIVE CLASS WHO HAS NOT OPTED OUT OF THE CLASS WITH RESPECT TO ANY CLAIMS ENCOMPASSED BY THE PUTATIVE CLASS ACTION UNTIL: (I) THE CLASS CERTIFICATION IS DENIED; (II) THE CLASS IS DECERTIFIED; OR (III) THE CUSTOMER IS EXCLUDED FROM THE CLASS BY THE COURT. SUCH FORBEARANCE TO ENFORCE AN AGREEMENT TO ARBITRATE SHALL NOT CONSTITUTE A WAIVER OF ANY RIGHTS UNDER THIS AGREEMENT EXCEPT TO THE EXTENT STATED HEREIN.

I ACKNOWLEDGE THAT THIS AGREEMENT CONTAINS A PREDISPUTE ARBITRATION CLAUSE, IN PARAGRAPHS ABOVE.

I AM PROFICIENT IN ENGLISH AND DO NOT REQUIRE ANY DOCUMENTS TO BE TRANSLATED INTO ANOTHER LANGUAGE.

IF ANY INFORMATION CHANGES WITH THE ACCOUNT, I WILL NOTIFY THE COMPLIANCE DEPARTMENT IN WRITING. I WILL ALSO ADVISE MY REGISTERED REPRESENTATIVE ON THE UPDATE.

ALL INVESTMENTS PURCHASED THROUGH SYNDICATED CAPITAL, INC. INVOLVE RISK. THEY MAY RESULT IN LOSS OF PRINCIPAL INVESTED, LOSS OF EARNINGS AND FAILURE TO ACHIEVE DESIRED INCOME.

THE LAWS OF THE STATE OF CALIFORNIA GOVERN.

Primary Account Holder Signature

Date _____/_____/20____

Joint Account Holder Signature

Date _____/_____/20____



PRIVACY POLICY AND DISCLOSURES

Privacy Policy

We recognize that your personal or account information is important to you. Syndicated Capital has developed the following privacy standards regarding this information:

Syndicated Capital does not sell your personal information.

Syndicated Capital may use third party service providers for its internal purposes. Any third party service providers that Syndicated Capital may use will be bound by obligations of confidentiality not to disclose the information provided by Syndicated Capital about its customers and may not use this information for any purpose other than performing the particular service.

The firm gathers information as required by FINRA and SEC Rules such as contact information, financial status and objectives, investment experience, and employment information. All of this information is shared with the clearing firms only, if applicable. The firm applies the same policies and procedures for former customers as it does for existing customers.

We use the e-mail address you provide to us to send account information and other important administrative information to you.

If any information changes with the account, please notify the compliance department in writing.

Disclosing information in other situations

Under certain circumstances, we may be required by law to disclose your personal information. Syndicated Capital may also disclose personal information to protect its legal rights or to enforce our Customer Agreement. We may disclose certain Customer Information to credit bureaus and similar organizations, and otherwise when permitted by law. For example, this may include:

- A disclosure in connection with a subpoena or similar legal process;
- A fraud investigation;
- Recording of deeds of trust and mortgages in public records;
- An audit or examination;
- The sale of your account to another financial institution.

Information Security

We are committed to preventing others from authorizing access to your customer information, and we maintain procedures and technology designed for this purpose. We take several steps to protect the customer information we have about you, including the following:

- We maintain physical, electronic and procedural safeguards to protect your information;
- We restrict access to information about you to those employees or registered representatives who need to know that information to provide you with the best possible products and services;
- We require outside companies and independent contractors to whom we provide customer information for marketing, servicing or processing purposes to enter into a confidentiality agreement that restricts the use of such information to be used for specific purposes as intended, and prohibits the independent use of such information;
- We update and test our technology on a regular basis in order to improve the protection of customer information;
- We have internal procedures that limit access to customer information to only those members who are considered "authorized" personnel.

If you have any questions about your personal or account information or about Syndicated Capital privacy policies and practices, please feel free to contact the compliance department at 310 255 4490.



Syndicated Capital, Inc.

Member FINRA/SIPC

Money Laundering

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. For you, this means:

- When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you.
- We may also ask to see your driver's license or other identifying documents.

For any further information regarding these disclosures, please feel free to contact us.

Payment for Order Flow

Pursuant to SEC Rule 11Ac1-3, we are required to inform you on an annual basis as to whether or not (I) Syndicated Capital, Inc. is engaged in any Payment for Order Flow arrangements, and (II) whether Syndicated Capital has a policy with respect thereto. Although Syndicated Capital has no policy against engaging in Payment for Order Flow arrangements, Syndicated Capital is currently not engaged in any Payment for Order Flow arrangements. All of the firm's orders are routed to Pershing for execution. For more information regarding Pershing's payment for order flow practices and quality of execution, please visit our website at www.syndicatedcapital.com.

For your information, Payment for Order Flow is defined by the SEC as "any monetary payment, service, property, or other benefit that results in remuneration, compensation, or consideration to a broker or dealer from any broker or dealer, national securities exchange, registered securities association, or exchange member in return for the routing of customer orders by such broker or dealer to any broker or dealer, national securities exchange, registered securities association, or exchange member for execution, including but not limited to: research, clearance, custody, products or services, reciprocal agreements for the provision of order flow; adjustments of a broker or dealer's unfavorable trading errors; offers to participate as underwriter in public offerings; stock loans or shared interest accrued thereon; discounts, rebates, or any other reductions of or credits against any fee to, or expense or other financial obligation of, the broker or dealer routing a customer order that exceeds the fee, expense or financial obligation."

FINRA Public Disclosure Information

The Board of Governors of the **National Association of Securities Dealers**, Inc. has adopted a public disclosure policy that provides certain types of disciplinary information on FINRA Member Firms and their Associated Persons in response to written inquiries via the FINRA regulation's web site address (www.finra.org) or telephone inquiries via FINRA Regulation's toll-free telephone listing (1-800-289-9999). The FINRA Public Disclosure Policy gives you access to information that may help you determine whether to conduct business with a FINRA Member Firm or with its Associated Persons and for other uses consistent with the FINRA Public Disclosure Policy. A brochure describing the Public Disclosure Program is available to you upon request by contacting the FINRA.

Business Continuity Plan

Please visit our website (www.syndicatedcapital.com) for additional information.

Customer Identification Program Notice

Important Information You Need to Know About Opening a New Account

To help the government fight the funding of terrorism and money laundering activities, federal law requires financial institutions to obtain, verify and record information that identifies each person who opens an account.

This Notice answers some questions about your Syndicated Capital's Customer Identification Program.

When you open an account, Syndicated Capital is required to collect information such as the following from you:

- Date of Birth
- Address
- Identification number:
 - U.S. Citizen: taxpayer identification number (social security number or employer identification number)
 - Non-U. S. Citizen: taxpayer identification number, passport number, and country of residence, alien identification card number, or government-issued identification showing nationality, residence, and a photograph of you.

You may also need to show your driver's license or other identifying documents.



Syndicated Capital, Inc.

Member FINRA/SIPC

A corporation, partnership, trust or other legal entity may need to provide other information, such as its principal place of business, local office, employer identification number, certified articles of incorporation, government-issued business license, a partnership agreement, or a trust agreement.

U. S. Department of the Treasury, Securities and Exchange Commission, FINRA, and New York Stock Exchange rules already require you to provide most of this information. These rules also may require you to provide additional information, such as your net worth, annual income, occupation, employment information, investment experience and objectives, and risk tolerance.

If you elect not provide the information requested or your identity cannot be verified, Syndicated Capital may not be able to open an account or carry out transactions for you. If Syndicated Capital has already opened an account for you, we may have to close it.

Arbitration Disclosures

THIS AGREEMENT CONTAINS A PREDISPUTE ARBITRATION CLAUSE. BY SIGNING AN ARBITRATION AGREEMENT THE PARTIES AGREE AS FOLLOWS:

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SIPC (Securities Investor Protection Corporation), please visit www.sipc.org for additional information.

Deposit	Checks	Payable to Pershing LLC (remember to include your brokerage account number.)		
	Fed Fund Wire	Bank Name	Bank of New York	
		ABA	021000018	
		Beneficiary	Pershing LLC	
		Beneficiary A/C	890-051238-5	
		Ultimate Beneficiary	Your Name or Title of the Brokerage Account	
		Ultimate Beneficiary A/C	Your Brokerage Account Number	
Deposit Mailing Address	East Coast	Pershing LLC	1 Pershing Plaza, Jersey City, NJ 07399	
	West Coast	Pershing LLC	777 South Figueroa Street, Suite 1301,	
			Los Angeles, CA 90017	
Contact Information	Syndicated Capital, Inc.		1299 Ocean Avenue, Suite 210, Santa Monica, CA 90401	
	Service Team		(T) 310 255 4490 (F) 310 434 0100	
	Compliance Department		serviceteam@syndicatedcapital.com compliance@syndicatedcapital.com	