



BUSINESS CONTINUITY PLAN

Should there be a regional disaster and you need to gain access to your account, please contact your investment professional at the usual contact number.

If you are unable to reach your investment professional, you may visit our website (www.syndicatedcapital.com) or contact the headquarters in Santa Monica, California at 310 255 4490 for personalized services or further instructions.

Please have your account statements with you when calling. They will tell you where your assets are in custody. In the event the headquarters experience a disaster or emergency, you will need to contact the clearing firm directly.

If your statement is generated by Pershing LLC, there is what you can do if you hear recorded messages at the headquarters to contact the following:

PERSHING (www.pershing.com)

Primary	Tel	201 413 3635	Fax	201 413 5368
Secondary	Tel	213 624 6100 x 500		

You will be directed to contact the clearing firms directly if your investment professional AND the headquarters are unable to service your account. These numbers are not available to clients under normal operating conditions.

We would like you to know that your investment professional is committed to provide you utmost best services possible, including in the event of an emergency.