



Syndicated Capital, Inc.

Member FINRA/SIPC

FEES SCHEDULE*

(effective 01/01/2017)

Account Transfer Retail Incoming	No Charge
Account Transfer Retail Outgoing	\$100/account
Account Transfer Retirement Incoming	No Charge
Account Transfer Retirement Outgoing	\$125/account
Annual Maintenance Retail	No Charge
Annual Maintenance Retirement (IRA/ROTH/SEP)	\$60 per account (in arrear)
Annual Maintenance Retirement SARSEP, SIMPLE IRA, 403(b)(7)	\$70/employer \$10/participant
Fed Fund Wire (domestic)	\$30
Fed Fund Wire (international – foreign currency)	\$50
Inactivity Fee	\$25/account beginning 2 nd year
Margin Debit Interest Schedule	
Net Debit Balance	Rates**
\$0 - \$9,999	8.37%
\$10,000 - \$29,999	8.12%
\$30,000 - \$49,999	7.62%
\$50,000 +	6.87%
** Rates as of 03.22.2013 and are subject to change. Please contact your investment professional for the latest rates.	
Margin Extension	\$20 per extension
Monthly Statement (last 2 years)	No Charge
Monthly Statement (more than 2 years ago)	\$3 per statement
Corestone Silver	\$25/year beginning 2 nd year
Corestone Silver Plus	\$50/year beginning 2 nd year
Corestone Gold	\$100/year beginning 2 nd year
Corestone Platinum	\$150/year beginning 2 nd year
Online Access	No Charge
Option Cashless	\$50
Overnight Delivery	\$30
Paper Confirmations & Statements	\$1/confirmation or statement
Paperless Confirmations & Statements	No Charge
Reorganization Mandatory	\$25
Reorganization Voluntary	\$50
Returned Check	\$25
Stock Certificate	\$75 per certificate
Stock Certificate Safekeeping	\$10 per month per certificate
Termination Fee Retail	No Charge
Termination Fee Retirement (IRA/ROTH/SEP)	\$150
Termination Fee Retirement SARSEP, SIMPLE IRA, 403(b)(7)	\$125/employer \$25/participant
Transaction service charge (Consult with your advisor)	\$30/trade

*Fees are subject to change. Please visit www.syndicatedcapital.com for updated fees schedule.

Client Initial

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